



41

1712

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

Approved for use through 07/30/2008. GPO 2007-1334

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

**Total Number of Pages in This Submission**

83

Application Number	09/929,465
Filing Date	August 14, 2001
First Named Inventor	Jeff Kirsner
Art Unit	1712
Examiner Name	TUCKER, PHILIP C.
Attorney Docket Number	HALB:020

RECEIVED  
JUL 3 1 2003  
C 1700

**ENCLOSURES** **(Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Statement Under 37 CFR 1.97(e); Certificate of Mailing
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Also inclosed is a return receipt postcard and a copy of the reference cited in the Information Disclosure Statement. Any fee due may be charged to deposit account 50-0807.	

**Remarks**

Also inclosed is a return receipt postcard and a copy of the reference cited in the Information Disclosure Statement. Any fee due may be charged to deposit account 50-0807.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Karen B. Tripp, Attorney at Law
Signature	
Date	July 28, 2003

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 28, 2003

Commissioner for Patents, Washington, D.C. 20231, on this date:

July 28, 2003

Typed or printed

Karen B. Tripp

**Signature**

Kan B. Jogi

Date: July 28, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, Washington, DC 20231.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

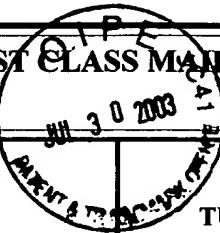
Applicant(s): Jeff Kirsner, et al.

Docket No.

HALB:020

Serial No.  
09/929,465Filing Date  
08/14/2001Examiner  
TUCKER, PHILIP C.Group Art Unit  
1712

Invention:

**Blends of Esters with Isomerized Olefins and Other Hydrocarbons as Base Oils for Invert Emulsion Oil Muds**

RECEIVED  
JUL 31 2003  
TC 1700

I hereby certify that this Supplemental Information Disclosure Statement  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on July 28, 2003  
Mail Stop: Patent Application  
(Date)  
P.O. Box 1450, Alexandria, VA 22313-1450Karen B. Tripp

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
JUL 30 2003 INFORMATION DISCLOSURE STATEMENT

Docket No.  
HALB:020

In Re Application Of: Jeff Kirsner, et al.

Serial No. 09/929,465	Filing Date August 14, 2001	Examiner TUCKER, PHILIP C.	Group Art Unit 1712
--------------------------	--------------------------------	-------------------------------	------------------------

Invention: Blends of Esters with Isomerized Olefins and Other Hydrocarbons as Base Oils for Invert Emulsion  
Oil Muds

RECEIVED  
JUL 31 2003  
TC 1700

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

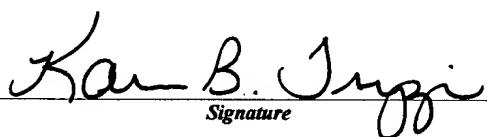
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

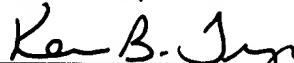
  
Signature

Dated: July 28, 2003

Karen B. Tripp, Reg No. 30,452  
Attorney at Law  
P.O. Box 1301  
Houston, Texas 77251-1301  
(713) 658-9323 phone  
(713) 658-9410 fax  
ktripp@tripplaw.com

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on  
July 28, 2003 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20234, PO Box 1450, Alexandria, VA  
22313-1450

  
Signature of Person Mailing Correspondence

Karen B. Tripp

Typed or Printed Name of Person Mailing Correspondence

cc: Craig W. Roddy, Esq.



~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

**Substitute for form 1449A/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	09/929,465
<b>Filing Date</b>	August 14, 2001
<b>First Named Inventor</b>	Jeff Kirsner et al.
<b>Art Unit</b>	1712
<b>Examiner Name</b>	TUCKER, PHILIP C.

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents